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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#### **Application Number** 10/082,807 **TRANSMITTAL Filing Date** February 22, 2002 **FORM First Named Inventor** David Bau, III (to be used for all correspondence after initial filing) 2778 Group Art Unit **Examiner Name** Not yet assigned

Total Number of Pages in This Submissi	on Attorney Docket Number	109870-130103					
ENCLOSURES (check all that apply)							
X Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group					
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition	Proprietary Information					
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter					
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	X Other Enclosure(s) (please identify below):					
Express Abandonment Request	Terminal Disclaimer	Copies of 5 IDS Citations;     Request to Change Attorney Docket     Number; and					
X Information Disclosure Statement	Request for Refund	Return Receipt Postcard					
Certified Copy of Priority Document(s)	CD, Number of CD(s)						
Response to Missing Parts/ Incomplete Application	Remarks						
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE (	OF APPLICANT, ATTORNEY, OR A	GENT					
Firm or Jason K. Klindtworth, Reg. No. 47,211 SOFTWABE, WILLIAMSON & WYATT, PC.							
Signature Servivas, WILLIAWISON & WIATT, FC.							
Date 1118/02							
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:							
Typed or printed name Heather L. Adamson							
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PTO/SB/17 (10-01)

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# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

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178	M.	nn

Complete if Known				
Application Number	10/082,807			
Filing Date	February 22, 2002			
First Named Inventor	David Bau, III			
Examiner Name	Not yet assigned			
Group Art Unit	2778			
Attorney Docket No.	109870-130103			

METHOD OF PAYMENT	METHOD OF PAYMENT FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated  3. ADDITIONAL FEES						
Deposit		Large Entity		Smal Entity		
Account 500393	Fee	Fee	Fee	Fee	•	5 D-14
Number Deposit	Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Account Schwabe, Williamson & Wyatt, P.C.	105	130	205	65	Surcharge - late filing fee or oath	
Name	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139	130	139	130	Non-English specification	
Applicant claims small entity status. See 37 CFR 1.27	147	2,520	147	2,520	For filing a request for ex parte reexamination	
2. Payment Enclosed:	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Check Credit Card Money Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	
	116	400	216	200	Extension for reply within second month	
1.BASIC FILING FEE Large Entity Small Entity	117	920	217	460	Extension for reply within third month	
Fee Fee (\$) Fee Fee Description	118	1,440	218	720	Extension for reply within fourth month	
Code Code (\$) Fee Paid 101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal	
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing	
114 760 214 80 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	140	110	240	55	Petition to revive - unavoidable	
	141	1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES Fee from	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	143	460	243	230	Design issue fee	
Total Claims -20**= X =	144	620	244	310	Plant issue fee	
Independent Claims -3**= X = X	122	130	122	130	Petitions to the Commissioner	
	123	50	123	50	Petitions related to provisional applications	
Multiple Dependent	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Code (\$) Code (\$) 103 18 203 9 Claims in Excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be	
104 280 204 140 Multiple dependent claim, if not paid					examined (37 CFR § 1.129(b))	<u> </u>
109 84 209 42 ** Reissue independent daims over original patent	179	740	279	370	Request for Continued Examination (RCE)  Request for expedited examination	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 Other fo	900	169	900	of a design application	
SUBTOTAL (2) (\$) 0.00	Other fe	e (specify)				L
**or number previously paid, if greater; For Reissues, see above	*Reduced	by Basic Fi	ling Fee f	Paid	SUBTOTAL (3) (\$) 0	.00

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Jason, K. Klindtworth	Registration No. (Attorney/Agent)	47,211	Telephone	503-595-2800
Signature	CL/MW)			Date ~	11/8/02

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

David Bau, III, et al.

Application No.: 10/082,807

Filed: February 22, 2002

For: ANNOTATION BASED

DEVELOPMENT PLATFORM FOR

**ASYNCHRONOUS WEB** 

**SERVICES** 

Examiner: Not yet assigned

Art Group: 2778

#### **CERTIFICATE OF MAILING**

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Name of Person Mailing: Heather L. Adamson

Signature: NATALLA ALMADO are: 11/15/02

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

### REQUEST TO CHANGE ATTORNEY DOCKET NUMBER

Dear Sir:

Please change the Attorney Docket Number to 109870-130103.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: ////⁄{ \_\_\_\_\_\_, 2002

Jason K. Klindtworth

Registration No. 47,211

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